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PATENT

Attorney Docket No.: 021756-012600US
Client Reference No.: OID-2005-162-05

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By: Sara B. McPeak
Sara B. McPeak



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Elise Y. Tung Loo et al.

Application No.: 09/997,408

Filed: November 30, 2001

For: SERVICING FUNCTIONS THAT
REQUIRE COMMUNICATION
BETWEEN MULTIPLE SERVERS

Confirmation No.: 2426

Examiner: Robert B. Harrell

Art Unit: 2142

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Mail Stop RCE
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Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.


As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

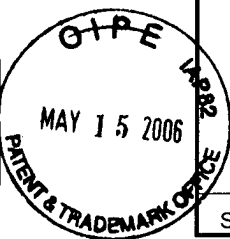
Date: 5-15-06

Respectfully submitted,



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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 8

Complete if Known

Application Number	09/997,408
Filing Date	November 30, 2001
First Named Inventor	Elise Y. Tung Loo
Art Unit	2142
Examiner Name	Robert B. Harrell
Attorney Docket Number	021756-012600US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-5,301,320	04-05-1994	McAtee et al.	
	A2	US-5,659,616	08-19-1997	Sudia	
	A3	US-5,734,837	03-31-1998	Flores et al.	
	A4	US-5,745,574	04-28-1998	Muftic	
	A5	US-5,796,841	08-18-1998	Cordery et al.	
	A6	US-5,822,454	10-13-1998	Rangarajan	
	A7	US-5,831,975	11-03-1998	Chen et al.	
	A8	US-5,867,665	02-02-1999	Butman et al.	
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	A10	US-5,884,284	03-16-1999	Peters et al.	
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	A12	US-5,907,837	05-25-1999	Ferrel et al.	
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	A15	US-5,961,593	10-05-1999	Gabber et al.	
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	A20	US-6,012,098	01-04-2000	Bayeh et al.	
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	A23	US-6,061,726	05-09-2000	Cook et al.	
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	A26	US-6,105,063	08-15-2000	Hayes, Jr.	
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	A35	US-6,223,291 B1	04-24-2001	Puhl et al.	

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				Filing Date	November 30, 2001
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				Art Unit	2142
				Examiner Name	Robert B. Harrell
Sheet	2	of	8	Attorney Docket Number	021756-012600US

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		Number Kind Code ² (if known)			
	A36	US-2001-0002485 A1	05-31-2001	Bisbee et al.	
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	A70	US-2002-0138543 A1	09-26-2002	Teng et al.	

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			Application Number	09/997,408	
			Filing Date	November 30, 2001	
			First Named Inventor	Elise Y. Tung Loo	
			Art Unit	2142	
			Examiner Name	Robert B. Harrell	
Sheet	3	of	8	Attorney Docket Number	021756-012600US

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	A71	US-2002-0138572 A1	09-26-2002	Delany et al.	
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	A85	US-6,507,857 B1	01-14-2003	Yalcinalp	
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	A102	US-6,671,695 B2	12-30-2003	McFadden	
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			Filing Date	November 30, 2001	
			First Named Inventor	Elise Y. Tung Loo	
			Art Unit	2142	
			Examiner Name	Robert B. Harrell	
Sheet	4	of	8	Attorney Docket Number	021756-012600US

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	A106	US-2004-0049675 A1	03-11-2004	Micali et al.	
	A107	US-2004-0064356 A1	04-01-2004	Saito et al.	
	A108	US-6,728,685 B1	04-27-2004	Ahluwalia	
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	A114	US-2004-0153509 A1	08-05-2004	Alcorn et al.	
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	A116	US-6,782,379 B2	08-24-2004	Lee	
	A117	US-6,791,949 B1	09-14-2004	Ryu et al.	
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	A119	US-6,842,863 B1	01-11-2005	Fox et al.	
	A120	US-6,851,054 B2	02-01-2005	Wheeler et al.	
	A121	US-6,854,016 B1	02-08-2005	Kraenzel et al.	
	A122	US-6,886,170 B1	04-26-2005	Bahrs et al.	
	A123	US-6,901,588 B1	05-31-2005	Krapf et al.	
	A124	US-6,959,415 B1	10-25-2005	Söderberg et al.	
	A125	US-6,968,503 B1	11-22-2005	Chang et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	B1	WO	02/07396	A1	01-24-2002	Nokia Corporation		<input type="checkbox"/>
	B2							<input type="checkbox"/>

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Examiner Name	Robert B. Harrell				
Sheet	5	of	8	Attorney Docket Number	021756-012600US

NON PATENT LITERATURE DOCUMENTS				
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	C1	Howes, Timothy A. et al., "Understanding And Deploying LDAP Directory Services," Netscape Communications Corporation, pp. 2 cover pages, 102-105, 277-292 and 699-723, 1999	<input type="checkbox"/>	
	C2	Myers, M. et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP," The Internet Society, 22 pages, June 1999		
	C3	US Patent Application No. 09/997,409, Office Action dated June 3, 2005, 17 pages	<input type="checkbox"/>	
	C4	US Patent Application No. 09/997,409, Office Action dated November 7, 2005, 12 pages	<input type="checkbox"/>	
	C5	US Patent Application No. 09/998,893, Office Action dated June 13, 2005, 20 pages		
	C6	US Patent Application No. 09/998,893, Final Office Action dated December 28, 2005, 12 pages		
	C7	US Patent Application No. 09/998,895, Office Action dated March 25, 2005, 18 pages	<input type="checkbox"/>	
	C8	US Patent Application No. 09/998,895, Final Office Action dated August 1, 2005, 19 pages		
	C9	US Patent Application No. 09/998,895, Advisory Action dated October 20, 2005, 3 pages		
	C10	US Patent Application No. 09/998,895, Office Action dated February 7, 2006, 13 pages	<input type="checkbox"/>	
	C11	US Patent Application No. 09/998,898, Office Action dated September 21, 2005, 13 pages	<input type="checkbox"/>	
	C12	US Patent Application No. 09/998,908, Office Action dated January 25, 2005, 24 pages	<input type="checkbox"/>	
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	C13	US Patent Application No. 09/998,908, Final Office Action dated August 22, 2005, 14 pages	<input type="checkbox"/>
	C14	US Patent Application No. 09/998,908, Advisory Action dated November 1, 2005, 3 pages	<input type="checkbox"/>
	C15	US Patent Application No. 09/998,908, Office Action dated February 16, 2006, 15 pages	<input type="checkbox"/>
	C16	US Patent Application No. 09/998,910, Office Action dated January 28, 2005, 12 pages	<input type="checkbox"/>
	C17	US Patent Application No. 09/998,910, Office Action dated December 13, 2005, 10 pages	<input type="checkbox"/>
	C18	US Patent Application No. 09/998,914, Office Action dated July 14, 2005, 19 pages	<input type="checkbox"/>
	C19	US Patent Application No. 09/998,914, Final Office Action dated January 30, 2006, 15 pages	<input type="checkbox"/>
	C20	US Patent Application No. 09/998,916, Office Action dated March 21, 2005, 16 pages	<input type="checkbox"/>
	C21	US Patent Application No. 09/998,916, Final Office Action dated September 8, 2005, 12 pages	<input type="checkbox"/>
	C22	US Patent Application No. 09/998,916, Advisory Action dated December 9, 2005, 4 pages	<input type="checkbox"/>
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	C27	US Patent Application No. 09/999,074, Advisory Action dated December 20, 2005, 3 pages	<input type="checkbox"/>
	C28	US Patent Application No. 09/999,177, Office Action dated March 25, 2005, 23 pages	<input type="checkbox"/>
	C29	US Patent Application No. 09/999,177, Final Office Action dated September 14, 2005, 26 pages	<input type="checkbox"/>
	C30	US Patent Application No. 09/999,177, Advisory Action dated December 14, 2005, 6 pages	<input type="checkbox"/>
	C31	US Patent Application No. 10/145,684, Office Action dated June 16, 2005, 17 pages	<input type="checkbox"/>
	C32	US Patent Application No. 10/145,684, Final Office Action dated December 1, 2005, 10 pages	<input type="checkbox"/>
	C33	US Patent Application No. 10/145,684, Advisory Action dated March 9, 2006, 3 pages	<input type="checkbox"/>
	C34	US Patent Application No. 10/146,150, Office Action dated July 27, 2005, 17 pages	<input type="checkbox"/>
	C35	US Patent Application No. 10/146,150, Final Office Action dated December 20, 2005, 12 pages	<input type="checkbox"/>
	C36	US Patent Application No. 10/146,150, Advisory Action dated March 13, 2006, 4 pages	<input type="checkbox"/>
Examiner Signature			Date Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				<i>Application Number</i>	09/997,408
				<i>Filing Date</i>	November 30, 2001
				<i>First Named Inventor</i>	Elise Y. Tung Loo
				<i>Art Unit</i>	2142
				<i>Examiner Name</i>	Robert B. Harrell
Sheet	8	of	8	<i>Attorney Docket Number</i>	021756-012600US

Examiner Signature		Date Considered	
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